

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/785,605	02/16/2001	Mukund Padmanabhan	YOR920000199US3

CONFIRMATION NO. 4374

FORMALITIES LETTER



OC00000006096994

Ryan, Mason & Lewis, LLP
1300 Post Road, Suite 205
Fairfield, CT 06430

Date Mailed: 05/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

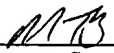
FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/20/2001 BHGUYEN1 00000159 500510 09785605

01 FC:105 130.00 CH



07-18-01

Sector/1

Attorney Docket No. YOR920000199US3

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

#3

Patent Application

Applicant(s): Mukund Padmanabhan
Docket No.: YOR920000199US3
Serial No.: 09/785,605
Filing Date: February 16, 2001
Group: 2623
Examiner: Unassigned

"Express Mail" Label No.: EL659922385US
I hereby certify that this paper is being deposited with the U. S. Postal Service
"Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the
date indicated below and is addressed to: Assistant Commissioner for Patents,
Washington, D.C. 20231
Signature: [Signature] Date: July 17, 2001

Title: Determination and Use of Spectral Peak Information and Incremental
Information in Pattern Recognition

TRANSMITTAL OF MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

Box Missing Parts

SIR:

In response to the Notice to File Missing Parts, dated May 21, 2001, Applicants submit herewith
the following documents to complete the above-referenced patent application:

- (1) Declaration;
- (2) Assignment with Recordation Cover Sheet;
- (3) Associate Power of Attorney; and
- (4) Information Disclosure Statement with Form PTO-1449 and cited references(s).

A copy of the Notice is enclosed herewith. Please charge **IBM Corporation's Deposit Account No. 50-0510** the amount of \$130.00, to cover the surcharge under 37 CFR §1.16(e). In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **Deposit Account No. 50-0510** as required to correct the error. Duplicate copies of this letter are enclosed.

Respectfully submitted,

Date: July 17, 2001

Robert J. Mauri
Attorney for Applicants
Reg. No. 41,180
Ryan, Mason & Lewis, LLP
1300 Post Road, Suite 205
Fairfield, CT 06430
(203) 255-6560